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CONFIDENTIAL ESTATE PLANNING QUESTIONNAIRE

Note: The answers you provide here will be held strictly confidential. You should be aware that we will rely upon the accuracy of this information in preparing your estate planning documents. Please make every effort to insure the accuracy of your answers.

FAMILY INFORMATION

1. Your full name as it should appear on legal documents: _____

Date of Birth: _____

2. Residence Address: _____

County of Residence: _____

Residence Phone Number: _____

Email Address: _____

3. Employer: _____

Business Address: _____

Business/Cell Phone Number: _____

4. Do you have any serious current health problems? If so, please explain: _____

5. Your spouse's (if any) full name as it should appear on legal documents: _____

Spouse's Date of Birth: _____

Spouse's Email Address: _____

6. Spouse's Employer: _____

Business Address: _____

Business/Cell Phone Number: _____

7. Does your spouse have any serious current health problems? If so, please explain: _____

8. Date of Marriage: _____. Please list each state in which you and your spouse
were permanent residents during marriage: _____

9. Names and dates of birth of all children of you and/or your spouse.

Note: Please include deceased children, but indicate (D) after name. Please also include adopted children by indicating (A) after name.

10. If any of your children are married, please indicate the names of your married children and
the full names of their spouses.

11. Names and ages of grandchildren.

12. City and State of residence of your living parents (please indicate whether or not you help to support your parents):

13. City and State of residence of your spouse's living parents (please indicate whether or not you help to support your spouse's parents):

14. Are there any family members who require special medical attention, schooling or nursing care? If so, please explain.

15. Have you or your spouse had any previous marriages? If so, please list terminating event (i.e. death or divorce) and date: _____

16. Do you have any legal obligations to a former spouse or children? _____

17. Are any relatives (other than spouse and children) dependent upon you for support? If yes, please explain: _____

18. Social Security Numbers: Yours: _____ Your spouse's: _____

19. Are you a U.S. citizen? Yes _____ No _____.

Is your spouse a U.S. citizen? Yes _____ No _____.

20. Are you or your spouse the beneficiary under any trust agreement? Yes _____ No _____.

If yes, please explain: _____

21. Do you or your spouse have a power of appointment to dispose of either income or principal under any trust? Yes _____ No _____. If yes, please explain: _____

22. Do you or your spouse anticipate receiving a significant inheritance? Yes _____ No _____.

If yes, please explain: _____

23. Are you, your spouse or your children receiving gifts from anyone under a program of annual giving? Yes _____. No _____. If yes, please explain: _____

STATEMENT OF ASSETS

<u>DESCRIPTION OF ITEM</u>	<u>IN YOUR NAME ONLY</u>	<u>IN YOUR SPOUSE'S NAME</u>	<u>IN JOINT NAMES</u>
CHECKING ACCOUNTS (average balance):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
SAVINGS AND MONEY MARKET ACCOUNTS (average balance):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
CERTIFICATES OF DEPOSIT			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
MARKETABLE SECURITIES:			
A. STOCKS			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____

*Note: Please value all assets at their approximate current fair market value. Since the value of assets typically fluctuate from day to day, you do not need to be overly concerned with their exact current value. In most cases, a reasonable approximation will suffice. Of far more critical concern is the *exact manner in which the assets are titled*. Please be certain to indicate exactly how your assets are titled (i.e., in your sole name, in "joint name" or "in trust for").

<u>DESCRIPTION OF ITEM</u>	<u>IN YOUR NAME ONLY</u>	<u>IN YOUR SPOUSE'S NAME</u>	<u>IN JOINT NAMES</u>
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A. STOCKS - continued

	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____

B. BONDS

	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____

C. MUTUAL FUND SHARES

	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____

INDIVIDUAL RETIREMENT ACCOUNTS:

	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____

INTEREST IN PENSION, PROFIT SHARING, 401(k), 403(b) or OTHER TYPES OF RETIREMENT PLANS:

	\$ _____	\$ _____	\$ _____
	\$ _____	\$ _____	\$ _____

<u>DESCRIPTION OF ITEM</u>	<u>IN YOUR NAME ONLY</u>	<u>IN YOUR SPOUSE'S NAME</u>	<u>IN JOINT NAMES</u>
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MORTGAGES (payable to you), LEASES, COPYRIGHTS, TRADEMARKS, PATENTS, ETC.

	\$ _____	\$ _____	\$ _____
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BUSINESS INTERESTS (Please list all businesses in which you have an ownership interest):

	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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Are any of these business interests Subchapter S corporations? Yes _____ No _____

(If yes, please specify which ones and indicate your ownership percentage). _____

OTHER INVESTMENTS:

	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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REAL ESTATE (AT FAIR MARKET VALUE):

	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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OUTSTANDING MORTGAGES ON REAL ESTATE LISTED ABOVE:

	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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	\$ _____	\$ _____	\$ _____
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<u>DESCRIPTION OF ITEM</u>	<u>IN YOUR NAME ONLY</u>	<u>IN YOUR SPOUSE'S NAME</u>	<u>IN JOINT NAMES</u>
TANGIBLE PERSONAL PROPERTY:			
a. Cars, trailers and other motor vehicles	\$ _____	\$ _____	\$ _____
b. Boats and aircraft	\$ _____	\$ _____	\$ _____
c. Personal effects, jewelry, furs	\$ _____	\$ _____	\$ _____
d. **Collections, works of art	\$ _____	\$ _____	\$ _____
e. Household effects	\$ _____	\$ _____	\$ _____
f. Guns, pets and hobby equipment	\$ _____	\$ _____	\$ _____
g. Other tangible assets	\$ _____	\$ _____	\$ _____

**Are you or your spouse a collector of any items (such as coins, stamps, art work and the like) of any significant value? Yes _____ No _____. If yes, please explain and include your estimate of the value of these collectibles: _____.

TOTAL ASSETS (other than insurance):

PLEASE LIST AGGREGATE VALUE OF ALL ITEMS LISTED ON PRIOR PAGES:

\$ _____ \$ _____ \$ _____

LIFE INSURANCE AND ANNUITY CONTRACTS

	<u>POLICY I</u>	<u>POLICY II</u>	<u>POLICY III</u>	<u>POLICY IV</u>
NAME OF INSURED	_____	_____	_____	_____
INSURANCE COMPANY	_____	_____	_____	_____
POLICY NUMBER	_____	_____	_____	_____
TYPE OF POLICY (i.e. ordinary life, term, etc.)	_____	_____	_____	_____
POLICY OWNER	_____	_____	_____	_____
PRIMARY BENEFICIARY	_____	_____	_____	_____
CONTINGENT or ALTERNATE BENEFICIARY	_____	_____	_____	_____
FACE AMOUNT OF POLICY	_____	_____	_____	_____
PRESENT CASH VALUE	_____	_____	_____	_____
OUTSTANDING BALANCE ON POLICY LOANS	_____	_____	_____	_____

STATEMENT OF LIABILITIES*

<u>DESCRIPTION OF ITEM</u>	<u>IN YOUR NAME ONLY</u>	<u>IN YOUR SPOUSE'S NAME</u>	<u>IN JOINT NAMES</u>
NOTES PAYABLE (other than real estate mortgages):			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
AVERAGE INCOME TAXES:			
_____	\$ _____	\$ _____	\$ _____
ACCOUNTS PAYABLE:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
OTHER LIABILITIES:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
CONTINGENT LIABILITIES AS ENDORSER OR GUARANTOR:			
_____	\$ _____	\$ _____	\$ _____
_____	\$ _____	\$ _____	\$ _____
TOTAL LIABILITIES - AGGREGATE VALUE OF ALL ITEMS LISTED ABOVE:			
	\$ _____	\$ _____	\$ _____

*Note: Please include a brief description of all significant liabilities, the approximate amount due and who is the liable party (or parties).

DOCUMENTS REQUESTED

The documents listed below are all important to the development of your estate plan. Because these documents contain technical legal details which should be analyzed by a lawyer, it is important that you furnish a copy of each of these if at all possible. Please be certain to bring copies (not necessarily the originals) of the following documents:

1. Present Will of you and your spouse.
2. The trust instrument for any trust created by you or your spouse.
3. The trust instrument for any trust under which you, your spouse or any of your children are a beneficiary or have any other interests.
4. Gift tax returns (all).
5. Stockholder or partnership agreements (including buy-sell agreements).
6. Instruments under which you or your spouse have a power of appointment.
7. Prenuptial or postnuptial agreements or separation agreements.
8. Powers of Attorney, Health Care Designations and/or Living Wills executed or authorized by you or your spouse.

Selection of Designated Representatives

As part of the estate planning process, you will be asked to designate certain representatives to serve on your behalf. A list of those representatives and a brief description of the services that he or she will perform are listed below.

PERSONAL REPRESENTATIVE

A personal representative is the person who administers your estate and distributes your assets in accordance with the terms set forth under your will. Depending on the terms set forth in your will and how you structure your asset holdings, the personal representative's role may be significant or not very significant. At a minimum, the personal representative will be responsible for the distribution of your personal effects and the handling of claims (if any) against your estate. In addition, if an estate tax return is required to be filed, the personal representative is generally the person who is responsible for having that return prepared and who, upon completion, will be requested to sign the return.

TRUSTEE

A trust is a written agreement pursuant to which assets are managed for the benefit of certain designated beneficiaries. Should you form a trust, then a trustee must be appointed to manage the assets of the trust. The trustee is also charged with the responsibility to carrying out the terms of the written trust agreement. In the event you form a special form of trust called a Revocable Trust, then you will usually appoint yourself as the first trustee of this Revocable Trust. However, you will still need to appoint a successor trustee(s) to serve on your behalf in the event you are deceased or disabled or otherwise unable or unwilling to serve as trustee.

ATTORNEY-IN-FACT

Your attorney-in-fact is the person who acts for you to make financial decisions and execute legal documents under a Durable Power of Attorney form. Typically, the attorney-in-fact acts when you are unable to act for one reason or another. The benefit of this document is that it can provide a simple and inexpensive alternative to a lengthy guardianship process in the event that you are ever incapable of making financial decisions for yourself.

HEALTH CARE SURROGATE

Your health care surrogate is the person who you authorize to make personal health care decisions for you in the event that you are ever unable to make a health care decision for yourself. The health care decisions which your surrogate can make may involve the choice of medical procedures and providers, including the right to transfer you from one health care facility to another and to apply for all health insurance benefits, both public and private, that are available to you.

GUARDIAN

The person who you nominate to physically care for your minor children, if something happens to you.